

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 27 January 2006

Tanya Parker

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Tanya Parker

(Signature of Person Mailing Paper or Fee)



**Attorney Docket No.: OR00-00801**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

**Inventor:** Daniel ManHung Wong, et al.  
**Title:** SELECTIVELY AUDITING ACCESSES TO ROWS WITHIN A RELATIONAL DATABASE AT A DATABASE SERVER  
**Filing Date:** 26 April 2000  
**Serial Number:** 09/559,171  
**Group Art Unit:** 2162  
**Examiner:** To, Baoquac N.

Listed below or on an attached Form PTO-1449 is information known to applicant(s) and submitted pursuant to 37 C.F.R. §1.56. A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

— This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- (1) It is being filed within 3 months of the application filing date  
— OR —  
— (2) It is being filed within 3 months of entry of a national stage  
— OR —  
— (3) It is being filed before the mail date of the first Office Action on the merits.

01/31/2006 YPOLITE1 00000055 09559171

01 FC:1464

130.00 OP

Attorney Docket No. OR00-00801

01/31/2006 YPOLITE1 00000033 09559171

01 FC:1808

130.00 OP

— 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

— a certification as specified in §1.97(e) is provided below; **or**

— a fee of \$240.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement. Please note that a check in the amount of \$240.00 is enclosed in payment.

X 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

A. a certification as specified in §1.97(e) is completed below; **and**

B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**

C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

X Statement under 37 C.F.R. §1.97(e) - I hereby certify that either: each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the information disclosure statement; or no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in section 1.56(c) more than three months prior to the filing of the information disclosure statement.

Signature: A. Richard Park  
A. Richard Park (Reg. No. 41,241)

1/27/06  
Date

Respectfully submitted,

By: A. Richard Park  
A. Richard Park  
Reg. No. 41,241

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Date: 27 January 2006

CERTIFICATE OF MAILING. I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on 27 January 2006.

Tanya Parker

(Typed or Printed Name of Person Mailing Paper or Fee)

(Signature of Person Mailing Paper or Fee)



**PATENT APPLICATION**  
**Attorney Docket No. OR00-00801**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of )  
 )  
Daniel ManHung Wong et al. ) Group Art Unit: 2162  
 )  
Application No.: 09/559,171 ) Examiner: To, Baoquac N.  
 )  
Filed: 26 April 2000 )  
 )  
For: **SELECTIVELY AUDITING ACCES-** )  
 **SES TO ROWS WITHIN A RELA-** )  
 **TIONAL DATABASE AT A DATA-** )  
 **BASE SERVER** )

**PETITION REQUESTING CONSIDERATION OF INFORMATION DISCLOSURE**  
**STATEMENT PURSUANT TO 37 C.F.R. § 1.97(d)(2)**

Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Pursuant to 37 C. F. R. § 1.97(d)(2), Applicant(s) hereby request that the Commissioner consider the information disclosure statement submitted herewith.

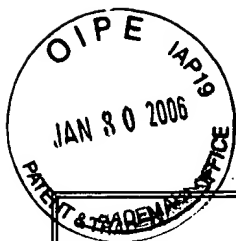
No item of information contained in the information disclosure statement was known to any individual designated in section 1.56(c) more than three months prior to the filing of the information disclosure statement.

A check for the petition fee of \$130.00 that is due under 37 C. F. R. § 1.17(i) is enclosed.

Respectfully Submitted,

Date: 27 January 2006

  
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SHEET 1 OF 1

INFORMATION DISCLOSURE  
CITATION

PTO-1449

ATTY. DOCKET NO.  
OR00-00801APPLICATION NO.  
09/559,171APPLICANT  
Daniel ManHung Wong, et al.FILING DATE  
26 April 2000GROUP ART UNIT  
2162

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	2003/0014394 A1	16 January 2003	Fujiwara et al.	707	3	3/22/01

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Publication: "Access control in a relational data base management system by query modification" by Stonebraker and Wong, Proc.ACM Ann. Conf. San Diego, CA, Nov. 1974, pp180-187.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.